- 1 1. A microparticle less than about 20 microns in diameter, comprising:
- 3 a polymeric matrix;
- 4 a lipid; and
- 5 a nucleic acid molecule, wherein the microparticle
- 6 is not encapsulated in a liposome and the microparticle does
- 7 not comprise a cell.
- 1 2. The microparticle of claim 1, wherein the
- 2 nucleic acid molecule is circular.
- 1 3. The microparticle of claim 1, wherein the
- 2 nucleic acid is a plasmid.
- 1 4. The microparticle of claim 1, wherein the
- 2 nucleic acid molecule comprises an expression control
- 3 sequence operatively linked to a coding sequence.
- 5. The microparticle of claim 1, further comprising
- 2 a targeting molecule.
- 1 6. The microparticle of claim 1, further comprising
- 2 a stabilizer.
- 7. A preparation of microparticles comprising a
- 2 plurality of the microparticles of claim 1.
- 8. A microparticle less than about 20 microns in
- 2 diameter, comprising:
- 3 a polymeric matrix;
- 4 a lipid; and
- 5 a nucleic acid molecule comprising an expression
- 6 control sequence operatively linked to a coding sequence,

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- wherein the coding sequence encodes an expression product
  selected from the group consisting of:
- 3 (a) a polypeptide at least 7 amino acids in length,
- 4 having a sequence essentially identical to the sequence of
- 5 (i) a fragment of a naturally-occurring mammalian protein:
- 6 or (ii) a fragment of a naturally-occurring protein from an
- 7 infectious agent which infects a mammal; or (iii) a
- 8 plurality of the fragments of (i), linked in tandem; or (iv)
- 9 a plurality of the fragments of (ii), linked in tandem;
- 10 (b) a peptide having a length and sequence which
- 11 permit it to bind to an MHC class I or II molecule;
- 12 (c) a polypeptide consisting of at least two
- 13 peptides of (b) either linked in tandem or sharing an
- 14 overlapping sequence; and
- 15 (d) any of (a), (b), or (c) linked to a trafficking
- 16 sequence,
- 17 provided that the expression product optionally
- 18 includes an amino terminal methionine residue, and further
- 19 provided that the expression product does not have an amino
- 20 acid sequence identical to that of a full-length, naturally-
- 21 occurring protein.
  - The microparticle of claim 8, wherein the lipid
  - 2 is selected from the group consisting of a cationic lipid.
  - 3 an anionic lipid, and a zwitterionic lipid.
  - 1 10. The microparticle of claim 8, wherein the lipid
  - 2 is cetyltrimethylammonium.
  - 1 11. The microparticle of claim 8, wherein the lipid
  - 2 is a phospholipid.

- 1 12. The microparticle of claim 8, wherein the lipid 2 is phosphatidylcholine.
- 1 13. The microparticle of claim 8, further 2 comprising a second lipid.
- 1 14. The microparticle of claim 8, wherein the 2 expression product is a polypeptide consisting of at least 3 two peptides of (b) linked in tandem, wherein the at least 4 two peptides of (b) are not identical.
- 1 15. The microparticle of claim 8, wherein the 2 expression product is a polypeptide consisting of at least 3 two overlapping peptides of (b).
- 1 16. The microparticle of claim 8, wherein the 2 expression product comprises a peptide having a length and 3 sequence which permit it to bind an MHC class I molecule.
- 1 17. The microparticle of claim 8, wherein the 2 expression product comprises a peptide having a length and 3 sequence which permit it to bind an MHC class II molecule.
- 1 18. The microparticle of claim 8, wherein the 2 expression product is immunogenic.
- 1 19. The microparticle of claim 14, wherein the 2 expression product is immunogenic.
- 1 20. The microparticle of claim 15, wherein the 2 expression product is immunogenic.

- 1 21. The microparticle of claim 16, wherein the 2 expression product is immunogenic.
- 1 22. The microparticle of claim 17, wherein the 2 expression product is immunogenic.
- 23. The microparticle of claim 8, wherein the expression product (1) has an amino acid sequence that differs by no more than 25% from the sequence of a naturally occurring peptide recognized by a T cell; and (2) is recognized by the T cell.
- 1 The microparticle of claim 8, wherein the expression product consists of an amino acid sequence at 2 3 least 50% identical to the sequence of a fragment at least 10 amino acids in length of a protein selected from the 4 5 group consisting of myelin basic protein (MBP), proteolipid protein (PLP), invariant chain, GAD65, islet cell antigen, 6 7 desmoglein,  $\alpha$ -crystallin, and  $\beta$ -crystallin, wherein the 8 fragment binds to an MHC class II molecule.
- 25. The microparticle of claim 8, wherein the expression product comprises an amino acid sequence essentially identical to a sequence selected from the group consisting of SEQ ID NOS 1-46.
- The microparticle of claim 8, wherein the
  expression product comprises a trafficking sequence selected
  from the group consisting of a sequence which trafficks to
  endoplasmic reticulum, a sequence which trafficks to a
  lysosome, a sequence which trafficks to an endosome, a
  sequence which trafficks to an intracellular vesicle, and a
  sequence which trafficks to the nucleus.

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- The microparticle of claim 8, wherein the expression product comprises an amino acid sequence essentially identical to the sequence of an antigenic portion of a tumor antigen.
- 1 28. The microparticle of claim 8, wherein the tumor 2 antigen is selected from the group consisting of the 3 proteins listed in Table 3.
- 29. The microparticle of claim 8, wherein the
  expression product comprises an amino acid sequence
  essentially identical to the sequence of an antigenic
  fragment of a protein naturally expressed by an infectious
  agent selected from the group consisting of a virus, a
  bacterium, and a parasitic eukaryote.
- 1 30. The microparticle of claim 29, wherein the 2 infectious agent is selected from the group consisting of 3 herpes simplex virus, hepatitis B virus, hepatitis C virus, 4 Plasmodium species, Chlamydia, and mycobacteria.
- 1 31. The microparticle of claim 29, wherein the 2 infectious agent is human papilloma virus.
- 1 32. The microparticle of claim 29, wherein the infectious agent is human immunodeficiency virus.
- 1 33. A preparation of microparticles comprising the 2 microparticle of claim 8.
- 1 34. A method of administering a nucleic acid to an 2 animal, comprising
- providing the microparticle of claim 1; and

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- introducing the microparticle into the animal.
- 1 35. The method of claim 34, wherein the
- 2 microparticle is introduced into a mucosal tissue of the
- 3 animal.
- 1 36. The method of claim 35, wherein the mucosal
- 2 tissue is vaginal tissue.
- 37. A process for preparing microparticles,
- 2 comprising:
- 3 (1) providing a first solution comprising a polymer
- 4 dissolved in an organic solvent;
  - 5 (2) providing a second solution comprising a
  - 6 nucleic acid dissolved or suspended in a polar or
- 7 hydrophilic solvent;
- 8 (3) mixing the first and second solutions to form a
- 9 first emulsion; and
- 10 (4) mixing the first emulsion with a third solution
- 11 to form a second emulsion;
- wherein at least one of the first, second, and third
- 13 solutions comprises a lipid; and
- wherein both mixing steps are carried out in a
- 15 manner that minimizes shearing of the nucleic acid while
- 16 producing microparticles having an average diameter smaller
- 17 than 100 microns.
- 1 38. The process of claim 37, wherein the lipid is
- 2 included in the first solution.
- 1 39. The process of claim 38, wherein the lipid is
- 2 present in a concentration of 0.001 to 10% (weight/volume)
- 3 in the first solution.

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- 1 40. The process of claim 37, wherein the lipid is 2 included in the second solution.
- 1 41. The process of claim 40, wherein the lipid is
- 2 present in a concentration of 0.001 to 10% (weight/volume)
- 3 in the second solution.
- 1 42. The process of claim 37, wherein the second
- 2 solution further comprises a stabilizer compound or a
- 3 surfactant.
- 1 43. The process of claim 37, wherein at least one
- 2 of the first, second and third solutions further comprises a
- 3 second lipid.
- 1 44. The process of claim 37, wherein the lipid is a
- 2 cationic lipid.
- 1 45. The process of claim 44, wherein the lipid is
- 2 cetyltrimethylammonium.
- 1 46. The process of claim 37, wherein the lipid is
- 2 selected from group consisting of phosphatidylcholine,
- 3 phosphatidylethanolamine, phosphatidylserine, and
- 4 phosphatidylinositol.
- 1 47. The process of claim 46, wherein the lipid is
- 2 phosphatidylcholine.
- 1 48. The process of claim 37, comprising the
- 2 additional steps of:
- 3 subjecting the microparticles to a temperature below
- 4 0°C, to produce frozen microparticles; and

- lyophilizing the frozen microparticles, to produce lyophilized microparticles.
- 1 49. A microparticle produced by the process of 2 claim 38.
- 1 50. A microparticle produced by the process of 2 claim 40.
- 1 51. A method of administering nucleic acid to an 2 animal, comprising
- providing the preparation of claim 7; and introducing the preparation into the animal.